

MAS61015 ALGEBRAIC TOPOLOGY — PROBLEM SHEET 14 — Solutions

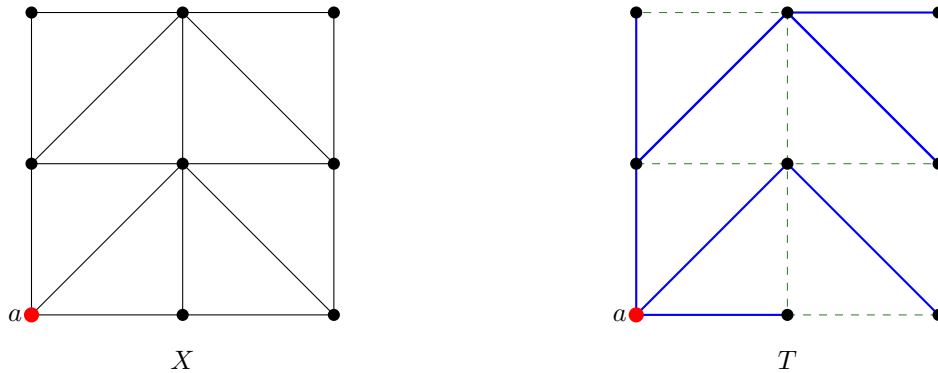
Please hand in exercise 1 by the end of Week 8.

**Exercise 1.** Let  $X$  be a graph, consisting of some points in  $\mathbb{R}^2$  (called vertices) and straight edges between them. We assume that no two edges intersect except at the endpoints. In this exercise we will work through the standard calculation of  $H_*(X)$ .

Part (a) below shows an example. However, you should give answers that work for any  $X$ , except in cases where the question specifically tells you to use the example in (a).

By a *combinatorial path* in  $X$  we mean a sequence of vertices  $u_0, \dots, u_r$  such that each pair  $(u_i, u_{i+1})$  is an edge of  $X$ . The *combinatorial distance* between vertices  $a$  and  $b$  is the minimum possible length of a combinatorial path between them.

- (a) A *spanning tree* is a subgraph  $T \subseteq X$  that contains all of the vertices and some of the edges, with the property that it is connected and contains no loops.



Show that there always exists a spanning tree. (Just choose a connected loop-free subgraph containing  $a$  with as many edges as possible, and prove that it must be a spanning tree.) For the rest of this exercise, we choose a spanning tree  $T$  and a vertex  $a \in T$ .

- (b) Show that if  $x$  is a vertex of  $X$ , then there is a unique combinatorial path  $u_x$  that goes from  $x$  to  $a$  without visiting any vertex twice. Draw some examples of paths  $u_x$  in the complex illustrated above.
- (c) We also write  $u_x$  for the sum of the edges in  $u_x$ , considered as an element of  $C_1(X)$ . What is  $\partial(u_x)$ ?
- (d) Define  $r(x)$  to be the first vertex on  $u_x$  after  $x$  (to be interpreted as  $r(a) = a$  in the exceptional case where  $x = a$ ). In other words,  $r(x)$  is the vertex that we reach after taking one step towards  $a$  from  $x$ . Annotate the above diagram to show the effect of the map  $r$ .
- (e) Let  $e$  be an edge of  $T$ . Show that there is a vertex  $x$  such that the endpoints of  $e$  are  $x$  and  $r(x)$ .
- (f) Part (d) defined  $r$  as a map  $\text{vert}(T) \rightarrow \text{vert}(T)$ , where  $\text{vert}(T)$  is the set of vertices of  $T$ . Explain how to extend this to give a map  $r: T \rightarrow T$ . Show that  $r$  is homotopic to the identity (but not by a linear homotopy). Deduce that  $T$  is contractible.
- (g) Now let the edges not in  $T$  be  $e_1, \dots, e_m$ , where  $e_q = (x_q, y_q)$ . Define  $a_q, b_q, c_q \in e_q$  by

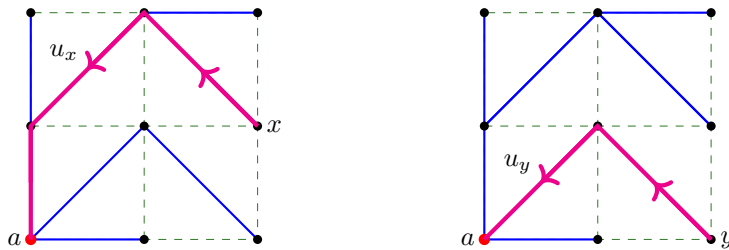
$$a_q = \frac{3}{4}x_q + \frac{1}{4}y_q \quad b_q = \frac{1}{2}x_q + \frac{1}{2}y_q \quad c_q = \frac{1}{4}x_q + \frac{3}{4}y_q$$

Put  $z_q = \langle x_q, y_q \rangle - u_{x_q} + u_{y_q} \in C_1(X)$ . Prove that  $\partial(z_q) = 0$  (so we have a corresponding element  $h_q = [z_q] \in H_1(X)$ ).

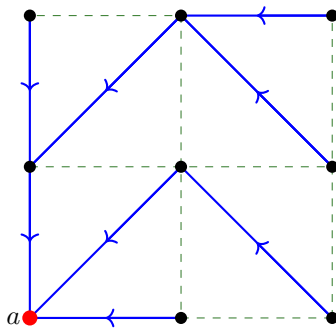
- (h) Put  $U = X \setminus T$ , so  $U$  consists of the edges  $e_q$  with the endpoints removed. Put  $V = X \setminus \{b_1, \dots, b_m\}$ . Describe the homology of  $U$ ,  $V$  and  $U \cap V$  in terms of the points  $a_q, b_q, c_q$  and  $a$ .
- (i) Use the Mayer-Vietoris sequence to show that  $H_1(X) \simeq \mathbb{Z}^m$ .
- (j) In the construction of the Mayer-Vietoris sequence we use the subcomplex  $C_*(U, V) = C_*(U) + C_*(V) \leq C_*(X)$ . Show that  $z_q \notin C_*(U, V)$ . Find elements  $z'_q \in C_1(U)$  and  $z''_q \in C_1(V)$  such that  $\text{sd}^2(z_q) = z'_q + z''_q$ , proving that  $\text{sd}^2(z_q) \in C_1(U, V)$ . (For this you will need to think about  $\text{sd}^2(u_x)$  and  $\text{sd}^2(\langle x_q, y_q \rangle)$ . You can just leave  $\text{sd}^2(u_x)$  as  $\text{sd}^2(u_x)$  but you will need to analyse  $\text{sd}^2(\langle x_q, y_q \rangle)$  in more detail.)
- (k) Use  $z'_q$  and  $z''_q$  to find a snake involving  $\text{sd}^2(z_q)$  and thus compute  $\delta(h_q)$  in the Mayer-Vietoris sequence. Conclude that the elements  $h_1, \dots, h_m$  give a basis for  $H_1(X)$ .

**Solution:**

- (a) There is at least one connected loop-free subcomplex containing  $a$ , namely  $\{a\}$ . We can list all possible subcomplexes with these properties, and choose one that has as many edges as possible, say  $T$ . We claim that  $T$  includes every vertex  $x$ . Indeed, as  $X$  is connected, we can choose a combinatorial path  $x = u_0, u_1, \dots, u_r = a$  from  $x$  to  $a$ . As  $u_r = a$  we have  $u_r \in T$ . Let  $i$  be the first index such that  $u_i \in T$ . If  $i = 0$  then  $x \in T$  as required. Otherwise we have an edge  $e = (u_{i-1}, u_i)$  with one end in  $T$  and the other end not in  $T$ , so we can add  $e$  to  $T$  without creating any loops or making it disconnected. This contradicts the assumed maximality of  $T$ , so we must have  $x \in T$  after all. This proves that  $T$  is a spanning tree.
- (b) As  $T$  is connected and contains all vertices, we can certainly choose a combinatorial path from  $x$  to  $a$  in  $T$ . If we choose such a path of minimum possible length, then it cannot visit any vertex twice (because then we could remove the segment between two visits to get a shorter path). Now suppose that  $v$  and  $w$  are two different non-repeating paths from  $x$  to  $a$  in  $T$ . They must eventually meet at  $a$ ; let  $y$  be the first place where they meet. We can go along  $v$  from  $x$  to  $y$ , then backwards along  $w$  from  $y$  to  $x$ . This gives a loop in  $T$ , contrary to assumption. Thus, the non-repeating path from  $x$  to  $a$  in  $T$  is unique, and can be denoted by  $u_x$ .



- (c) If the vertices in  $u_x$  are  $x = v_0, v_1, \dots, v_r = a$  then the corresponding chain is  $u_x = \sum_{i=1}^r \langle v_{i-1}, v_i \rangle$  giving  $\partial(u_x) = \sum_{i=1}^r (v_i - v_{i-1}) = v_r - v_0 = a - x$ .
- (d) Each arrow runs from a vertex  $x$  to  $r(x)$ .



- (e) Let the endpoints of  $e$  be  $x$  and  $y$ . After exchanging  $x$  and  $y$  if necessary, we can assume that the length of  $u_y$  is less than or equal to the length of  $u_x$ . Let  $v$  be the path from  $x$  to  $a$  consisting of  $e$  followed by  $u_y$ . If  $x$  occurred in  $u_y$ , then the section of  $u_y$  starting at  $x$  would be a combinatorial path from  $x$  to  $a$  shorter than  $u_x$ , which is impossible. Thus,  $x$  cannot occur in  $u_y$ , so the path  $v$  has no repeats, so  $v$  must be the same as  $u_x$ . However,  $v$  starts with  $(x, y)$  whereas  $e_x$  starts with  $(x, r(x))$  so we must have  $r(x) = y$ .
- (f) If  $u \in T$  is not a vertex then it lies in the interior of an edge, which has endpoints  $x$  and  $r(x)$  say by part (e). This means that  $u = (1-t)x + tr(x)$  for some  $t$  with  $0 < t < 1$ . From the definition of  $r$  it is clear that either  $r(x) = r^2(x) = a$ , or there is an edge of  $T$  with endpoints  $\{r(x), r^2(x)\}$ . We can therefore define  $r(u) = (1-t)r(x) + tr^2(x) \in T$ . The formula

$$r((1-t)x + tr(x)) = (1-t)r(x) + tr^2(x)$$

is clearly also valid when  $t = 0$  or  $t = 1$ , so the map  $r: T \rightarrow T$  is continuous on each edge of  $T$ . It is therefore continuous on all of  $T$  by closed patching.

If the edges  $(x, r(x))$  and  $(r(x), r^2(x))$  do not point in the same direction, then the line segment joining  $u$  to  $r(u)$  will not lie within  $T$ . Thus, we do not have a linear homotopy between  $r$  and the identity. Nonetheless, the map  $r$  is still homotopic to the identity: this should be visually clear, and the following definition gives a formal proof:

$$h(s, (1-t)x + tr(x)) = \begin{cases} (1-t-s)x + (t+s)r(x) & \text{if } 0 \leq s \leq 1-t \\ (2-t-s)r(x) + (t+s-1)r^2(x) & \text{if } 1-t \leq s \leq 1. \end{cases}$$

(Alternatively, we can define  $r_k: \text{vert}(T) \rightarrow \text{vert}(T)$  by

$$r_k(x) = \begin{cases} x & \text{if } \text{len}(u_x) < k \\ r(x) & \text{if } \text{len}(u_x) \geq k. \end{cases}$$

We can then define  $r_k((1-t)x + tr(x)) = (1-t)r_k(x) + tr_k(r(x))$ . We then find that  $r_0 = \text{id}$  and  $r_k$  is linearly homotopic to  $r_{k+1}$  and that  $r_k = r$  when  $k$  is large.) It follows that  $r^n$  is also homotopic to the identity for all  $n$ . However, when  $n$  is sufficiently large,  $r^n$  is the constant map with value  $a$ . It follows that  $T$  is contractible.

(g) We saw in (c) that  $\partial(u_x) = x - a$ . It follows that

$$\partial(z_q) = \partial(\langle x_q, y_q \rangle) - \partial(u_{x_q}) + \partial(u_{y_q}) = (y_q - x_q) - (a - x_q) + (a - y_q) = 0.$$

(h) Put  $U_q = e_q \setminus \{x_q, y_q\}$ . Then  $U$  is the disjoint union of the spaces  $U_q$ , and each  $U_q$  is contractible, and  $U_q$  is the path component of  $b_q$ . It follows that  $H_0(U) = \mathbb{Z}\{[b_1], \dots, [b_m]\}$ , and all other homology groups are trivial.

Similarly, the space  $U \cap V$  is the disjoint union of the sets  $U_q \setminus \{b_q\}$ . Moreover,  $U_q \setminus \{b_q\}$  is the disjoint union of two open intervals, one of which is the component of  $a_q$ , and the other is the component of  $c_q$ . It follows that

$$H_0(U \cap V) = \mathbb{Z}\{[a_1], \dots, [a_m], [c_1], \dots, [c_m]\},$$

and all other homology groups are trivial. We also know that  $X$  is connected so  $H_0(X) = \mathbb{Z}[a]$  but we do not yet know about the other homology groups.

Finally, the set  $V$  consists of  $T$  with some half-open intervals attached by their endpoints. We can shrink these intervals back to their endpoints, so  $V$  is homotopy equivalent to  $T$  and so is contractible. It follows that  $H_0(V) = \mathbb{Z}[a]$  and all other homology groups are trivial.

(i) We have inclusion maps

$$\begin{array}{ccc} U \cap V & \xrightarrow{i} & U \\ j \downarrow & & \downarrow k \\ V & \xrightarrow{l} & X, \end{array}$$

and the associated maps on  $H_0$  are given by

$$\begin{aligned} i_*([a_q]) &= i_*([c_q]) = [b_q] \\ j_*([a_q]) &= j_*([c_q]) = [a] \\ k_*([b_q]) &= l_*([a]) = [a]. \end{aligned}$$

The only interesting part of the Mayer-Vietoris sequence is

$$0 = H_1(U) \oplus H_1(V) \rightarrow H_1(X) \xrightarrow{\delta} H_1(U \cap V) \xrightarrow{\begin{bmatrix} i_* \\ -j_* \end{bmatrix}} H_1(U) \oplus H_1(V).$$

The kernel of the map  $\begin{bmatrix} i_* \\ -j_* \end{bmatrix}$  is easily seen to be the free abelian group generated by the elements  $c_q - a_q$  (for  $1 \leq q \leq m$ ). Exactness means that  $\delta$  gives an isomorphism from  $H_1(X)$  to this kernel. Thus, there is a unique element  $w_q \in H_1(X)$  with  $\delta(w_q) = c_q - a_q$ , and the elements  $w_1, \dots, w_m$  give a basis for  $H_1(X)$  over  $\mathbb{Z}$ . The Mayer-Vietoris sequence also shows easily that  $H_j(X) = 0$  for  $j > 1$ .

(j) The chain  $z_q$  involves  $\langle x_q, y_q \rangle$ . This contains the point  $x_q$  which is not in  $U$ , and also the point  $b_q$  which is not in  $V$ , so  $\langle x_q, y_q \rangle$  is not in  $S_1(U) \cup S_1(V)$ , so  $z_q$  is not in  $C_1(U, V)$ . On the other hand, we have

$$\begin{aligned} \text{sd}(\langle x_q, y_q \rangle) &= \langle b_q, y_q \rangle - \langle b_q, x_q \rangle \\ \text{sd}^2(\langle x_q, y_q \rangle) &= \langle c_q, y_q \rangle - \langle c_q, b_q \rangle - \langle a_q, x_q \rangle + \langle a_q, b_q \rangle. \end{aligned}$$

We can thus put

$$\begin{aligned} z'_q &= -\langle c_q, b_q \rangle + \langle a_q, b_q \rangle \\ z''_q &= \langle c_q, y_q \rangle - \langle a_q, x_q \rangle - \text{sd}^2(u_{x_q}) + \text{sd}^2(u_{y_q}). \end{aligned}$$

We find that  $z'_q \in C_1(U)$  and  $z''_q \in C_1(V)$  and  $z'_q + z''_q = \text{sd}^2(z_q)$  as required.

(k) It is easy to see that  $\partial(z'_q) = c_q - a_q$ . We also have  $\partial(\text{sd}^2(z_q)) = \text{sd}^2(\partial(z_q)) = \partial(0) = 0$ , so we must have  $\partial(z''_q) = a_q - c_q$ . Note here that  $a_q, c_q \in U \cap V$  so we can regard  $c_q - a_q$  as an element of  $C_0(U \cap V)$ , and it satisfies

$$\begin{bmatrix} i_{\#} \\ -j_{\#} \end{bmatrix} (c_q - a_q) = (c_q - a_q, a_q - c_q) = \partial(z'_q, z''_q).$$

It follows that the list

$$(h_q, \text{sd}^2(z_q), (z'_q, z''_q), c_q - a_q, [c_q] - [a_q])$$

is a snake for the showt exact sequence

$$C_*(U \cap V) \xrightarrow{\begin{bmatrix} i_{\#} \\ -j_{\#} \end{bmatrix}} C_*(U) \oplus C_*(V) \xrightarrow{[k_{\#} \quad l_{\#}]} C_*(U, V),$$

proving that  $\delta(h_q) = [c_q] - [a_q]$ . This means that  $h_q$  is the same as the element that we called  $w_q$  in part (h), so the list  $(h_1, \dots, h_m)$  is a basis for  $H_1(X)$  as claimed.